

<b>TO:</b> <b>Mail Stop 8</b> <b>Director of the U.S. Patent and Trademark Office</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	<b>REPORT ON THE</b> <b>FILING OR DETERMINATION OF AN</b> <b>ACTION REGARDING A PATENT OR</b> <b>TRADEMARK</b>
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_ of Arizona on the following

☐ Trademarks or ☒ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.);

DOCKET NO. CV10-8088-PCT-GMS	DATE FILED 6/1/2010	U.S. DISTRICT COURT of Arizona
PLAINTIFF W.L. Gore & Associates, Inc.		DEFENDANT GI Dynamics, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 See attached		
2 25 Pat's		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT Pursuant to stipulation, case dismissed with prejudice on 1/9/13.
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CLERK Brian D. Karth	(BY) DEPUTY CLERK <i>[Signature]</i>	DATE 1/10/13
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Copy 1—Upon initiation of action, mail this copy to Director    Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director    Copy 4—Case file copy

Pursuant to the Minute Order of September 13, 2012, W. L. Gore & Associates, Inc. ("Gore") hereby provides the following itemized list of each issued patent that is the subject of the above-entitled litigation, the date of each issued patent, the named inventors, and the assignee of the issued patent:

PATENT	DATE OF PATENT	NAMED INVENTORS OF THE PATENT <sup>1</sup>	ASSIGNEE OF THE PATENT
U.S. Patent No. 7,025,791	Issued April 11, 2006	Andy H. Levine; John Cvinar; Dave Melanson; John Meade	GI Dynamics, Inc.
U.S. Patent No. 7,122,058	Issued October 17, 2006	Andy H. Levine; David A. Melanson; John C. Meade	GI Dynamics, Inc.
U.S. Patent No. 7,267,694	Issued September 11, 2007	Andy H. Levine; John Cvinar; Dave Melanson; John C. Meade	GI Dynamics, Inc.
U.S. Patent No. 7,329,285	Issued February 12, 2008	Andy H. Levine; Dave Melanson	GI Dynamics, Inc.
U.S. Patent No. 7,347,875	Issued March 25, 2008	Andy H. Levine; John Cvinar	GI Dynamics, Inc.

<sup>1</sup> Gore notes that this suit seeks to correct the inventorship of certain of the patents listed herein, and seeks a declaration of co-ownership of the patents. Therefore, although Gore identifies the current named inventors and assignee on each patent, Gore does not hereby concede that these inventors are the sole inventors of these patents, or that GI Dynamics is the sole owner of these patents.

1	U.S. Patent No.	Issued January	John C. Meade;	GI Dynamics, Inc.
2	7,476,256	13, 2009	Andy H. Levine;	
3			David A. Melanson;	
4			John F. Cvinar	
5	U.S. Patent No.	Issued October	Andy H. Levine;	GI Dynamics, Inc.
6	7,608,114	27, 2009	John F. Cvinar;	
7			David A. Melanson;	
8			John C. Meade	
9	U.S. Patent No.	Issued March 16,	Andy H. Levine;	GI Dynamics, Inc.
10	7,678,068	2010	John F. Cvinar;	
11			David A. Melanson;	
12			John C. Meade	
13	U.S. Patent No.	Issued March 23,	John C. Meade;	GI Dynamics, Inc.
14	7,682,330	2010,	Andy H. Levine;	
15			David A. Melanson;	
16			John F. Cvinar	
17	U.S. Patent No.	Issued April 13,	Andy H. Levine;	GI Dynamics, Inc.
18	7,695,446	2010	John F. Cvinar;	
19			David A. Melanson;	
20			John C. Meade	
21	U.S. Patent No.	Issued July 20,	Andy H. Levine;	GI Dynamics, Inc.
22	7,758,535	2010	David A. Melanson	
23	U.S. Patent No.	Issued August 3,	Andy H. Levine;	GI Dynamics, Inc.
24	7,766,861	2010	David A. Melanson;	
25			John C. Meade	
26	U.S. Patent No.	Issued August 3,	Andy H. Levine;	GI Dynamics, Inc.
27	7,766,973	2010	David A. Melanson;	
28			Ian Parker	

1	U.S. Patent No.	Issued August	Andy H. Levine;	GI Dynamics, Inc.
2	7,771,382	10, 2010	Ronald B. Lamport;	
3			David A. Melanson;	
4			Stuart A. Randle	
5	U.S. Patent No.	Issued October	John C. Meade;	GI Dynamics, Inc.
6	7,815,589	19, 2010	Andy H. Levine;	
7			David A. Melanson;	
8			John F. Cvinar	
9	U.S. Patent No.	Issued October	Andy H. Levine;	GI Dynamics, Inc.
10	7,815,591	19, 2010	John C. Meade;	
11			David A. Melanson	
12	U.S. Patent No.	Issued October	Andy H. Levine;	GI Dynamics, Inc.
13	7,819,836	26, 2010	Ronald B. Lamport;	
14			David A. Melanson;	
15			Stuart A. Randle;	
16			Ezra S. Fishman	
17	U.S. Patent No.	Issued November	Andy H. Levine;	GI Dynamics, Inc.
18	7,837,643	23, 2010	David A. Melanson;	
19			Ronald B. Lamport	
20	U.S. Patent No.	Issued July 12,	Andy H. Levine;	GI Dynamics, Inc.
21	7,976,488	2011,	David A. Melanson	
22				
23	U.S. Patent No.	Issued July 19,	John C. Meade;	GI Dynamics, Inc.
24	7,981,163	2011	Andy H. Levine;	
25			David A. Melanson;	
26			John F. Cvinar	
27	U.S. Patent No.	Issued November	John C. Meade;	GI Dynamics, Inc.
28	8,057,420	15, 2011	Andy H. Levine;	
			David A. Melanson;	
			Ronald B. Lamport;	
			John F. Cvinar	

1	U.S. Patent No.	Issued January	Andy H. Levine;	GI Dynamics, Inc.
2	8,096,966	17, 2012	David A. Melanson;	
3			Ian Parker	
4				
5	U.S. Patent No.	Issued March 20,	Andy H. Levine;	GI Dynamics, Inc.
6	8,137,301	2012	John F. Cvinar;	
7			David A. Melanson;	
8			John C. Meade	
9	U.S. Patent No.	Issued April 24,	Andy H. Levine;	GI Dynamics, Inc.
10	8,162,871	2012	John F. Cvinar;	
11			David A. Melanson;	
12			John C. Meade	
13	U.S. Patent No.	Issued May 3,	Andy H. Levine;	GI Dynamics, Inc.
14	7,935,073	2011	John Cvinar	

14 Dated: September 18, 2012

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20 By: /s/ Mark W. Danis

22 Attorneys for Plaintiff/Counter-Defendant  
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23 ORIGINAL electronically filed this  
24 18th day of September, 2012, with:

25 Mr. Richard H. Weare  
26 Clerk of the Court, U.S. District Court  
Sandra Day O'Connor U.S. Courthouse  
27 401 West Washington Street, Suite 130, SPC 1  
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